

Compliance Assessment Form for COMMISSION DELEGATED REGULATION (EU) 2017/1926

Supplementing Directive 2010/40/EU of the European Parliament and of the Council with regard to the provision of EU-wide multimodal travel information services

Article	Key questions	Theoretical assessment	Content assessment	Requirements	Open
Article 3 National access points					
<p>1. Each Member State shall set up a national access point. The national access point shall constitute a single point of access for users to at least the static travel and traffic data and historic traffic data of different transport modes, including data updates, as set out in the Annex, provided by the transport authorities, transport operators, infrastructure managers or transport on demand service providers within the territory of a given Member State.</p> <p>2. Existing national access points that have been set up to comply with other delegated acts adopted under Directive 2010/40/EU may be used as national access points, if deemed appropriate by the Member States.</p> <p>3. National access points shall provide discovery services to users, for example services allowing for the search of the requested data using the contents of the corresponding metadata and displaying such contents;</p>					
<p>4. Transport authorities, transport operators, infrastructure managers or transport on demand service providers shall ensure that they provide the metadata in order to allow users to discover and use the datasets made accessible through the national access points.</p>	<p>Is appropriate metadata provided in order to allow users to discover and use datasets through the national access points?</p>	<p>Check on NAP if datasets can be found and used based only on their metadata.</p>	<ul style="list-style-type: none"> • Entry on NAP • Metadata 	<p>Appropriate metadata = harmonised metadata catalogue from NAPCORE</p>	
<p>5. Two or more Member States may set up a common access point.</p>					
Article 4 Accessibility, exchange and reuse of static travel and traffic data					
<p>1. Transport authorities, transport operators, infrastructure managers or transport on demand service providers shall provide the static travel and traffic data and historic traffic data listed in point 1 of the Annex, of the different transport modes by using:</p>					
<p>(a) for the road transport, the standards defined in Article 4 of Delegated Regulation (EU) 2015/962;</p>	<p>Referring to Compliance Assessment form for 2015/962, Article 4.</p>				
<p>(b) for other transport modes, the use of one of the following standards and technical specifications: NeTEx CEN/TS 16614 and subsequent versions, technical documents defined in Regulation (EU) No 454/2011 and subsequent versions, technical documents elaborated by IATA or any machine-readable format fully compatible and interoperable with those standards and technical specifications;</p>	<p>Do transport authorities, transport operators, infrastructure managers or transport on demand service providers provide data in NeTEx CEN/TS 16614 and subsequent versions or in any fully compatible machine-readable format?</p>	<p>Check on NAP what standard is specified for the data set.</p>	<p>Obtain data set and check for one of the standards listed in Article 4 (1) b.</p>	<ul style="list-style-type: none"> • Entry on NAP • Metadata • Obtaining data set 	
<p>(c) for the spatial network the requirements defined in Article 7 of Directive 2007/2/EC.</p>	<p>Do transport authorities, transport operators, infrastructure managers or transport on demand service providers provide data for the spatial network according to the</p>	<p>Check on NAP what standard is specified for the data set.</p>	<p>Obtain data set and check for requirements defined in Article 7 of Directive 2007/2/EC.</p>	<ul style="list-style-type: none"> • Entry on NAP • Metadata • Obtaining data set 	

	requirements defined in Article 7 of Directive 2007/2/EC? Do service providers of spatial network data provide data in INSPIRE and updated versions?				
2. The relevant static travel and traffic data listed in point 1 of the Annex that are applicable to NeTEx and DATEX II shall be represented through minimum national profiles.	Are relevant static travel and traffic data listed in point 1 of the Annex that are applicable to NeTEx and DATEX II represented through minimum national profiles (if available)?	Check in metadata on NAP, if a national minimum profile is used.	Obtain data set and check if the dataset is compliant with the minimum profile.	<ul style="list-style-type: none"> • Entry on NAP • Metadata • Existence of a national minimum profile • Obtaining a dataset 	Are national minimum profiles available?
3. Transport authorities, transport operators, infrastructure managers or transport on demand service providers shall provide the static travel and traffic data through the national access point in the required formats in line with the following timetable:					
(a) for the travel and traffic data set out in point 1.1 of the Annex for the comprehensive TEN-T network, by 1 December 2019 at the latest;					
(b) for the travel and traffic data set out in point 1.2 of the Annex for the comprehensive TEN-T network, by 1 December 2020 at the latest;					
(c) for the travel and traffic data set out in point 1.3 of the Annex for the comprehensive TEN-T network, by 1 December 2021 the latest;					
(d) for the travel and traffic data set out in points 1.1, 1.2 and 1.3 of the Annex for the other parts of the Union transport network, by 1 December 2023 at the latest.					
4. APIs that provide access to static travel and traffic data listed in the Annex via the national access point shall be publicly accessible allowing users and end-users to register to obtain access.					
Article 5 Accessibility, exchange and reuse of dynamic travel and traffic data					
1. Where the Member States decide to provide the dynamic travel and traffic data of different transport modes listed in point 2 of the Annex through the national access point, transport authorities, transport operators, infrastructure managers or transport on demand service providers shall use:					
(a) for the road transport the standards defined in Articles 5 and 6 of Delegated Regulation (EU) 2015/962;	Referring to compliance assessment form of 2015/962 Articles 5 and 6				
(b) for the other transport modes: SIRI CEN/TS 15531 and subsequent versions, technical documents defined in Regulation (EU) No 454/2011 or any machine-readable format fully compatible and interoperable with those standards or technical documents.	Is the data made available in SIRI CEN/TS15531 format or in a fully compatible, interoperable, machine-readable format? Are the criteria for interoperability according to Regulation (EU) No 454/2011 met?	Check on NAP what standard is specified for the data set.	Obtain data set and check whether the standard corresponds to SIRI CEN/TS15531 or a fully compatible one.	<ul style="list-style-type: none"> • Entry on NAP • Metadata • Obtain data set 	
2. The relevant travel and traffic data referred to in point 2 of the Annex applicable to SIRI and DATEX II shall be represented through minimum national profiles determined by Member States accessible through the national access point.	Are relevant travel and traffic data listed in point 2 of the Annex that are applicable to SIRI and DATEX II represented through minimum national profiles (if available)?	Check in metadata on NAP, if a national minimum profile is used.	Obtain data set and check if the dataset is compliant with the minimum profile.	<ul style="list-style-type: none"> • Entry on NAP • Metadata • Existence of a national minimum profile • Obtaining a dataset 	There are Datex II profiles but they are not declared as minimum profiles.
3. APIs that provide access to dynamic travel and traffic data listed in the Annex via the national access point shall be publicly accessible allowing users and end-users to register to obtain access.					

Article 6 Data Updates

1. Travel information services shall be based on updates of static and dynamic travel and traffic data.

<p>2. When changes occur, the relevant static and dynamic travel and traffic data listed in the Annex shall be updated by transport authorities, transport operators, infrastructure managers or transport on demand service providers through the national access point in a timely manner. They shall in a timely manner correct any inaccuracies detected by them in their data or signalled to them by any user or end user.</p>	<p>When changes occur, do transport authorities, transport operators, infrastructure managers or demand responsive transport providers update the relevant static and dynamic travel and traffic data referred to in the Annex via the national access point in a timely manner? Do they also correct in a timely manner any data inaccuracies they identify or that are reported to them by users or end-users?</p>	<p>Check in accompanying documents if an update rate is indicated for the dataset and how the period is defined.</p>	<p>Check data set in selected time window if the period for updates and corrections of inaccuracies is actually adhered to. Survey of organisation on quality assurance processes.</p>	<ul style="list-style-type: none"> • Accompanying documents • Survey of the organisation • Obtaining a dataset 	<p>For planned updates: Timely = at the latest, the day of effect of change; For unplanned updates: Timely = immediately Timeliness (update) Reference "Multimodal Travel Information Services (MMTIS) - Quality Package" (EU EIP, 2019). See Table 5)</p>
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Article 7 Linking travel information services

1. Upon request, travel information service providers shall provide to another information service provider routing results based on static, and where possible, dynamic information.

2. Routing results shall be based on:

<p>(a) the enquirers start and end points of a journey along with the specific time and date of departure or arrival, or both;</p>	<p>Do routing results include the criteria set out in Article 7 (2) a)?</p>	<p>Check in accompanying documents if the service description includes the criteria set out in Article 7 (2) a.</p>	<p>Obtain service and check if the routing result includes the criteria set out in Article 7 (2) a.</p>	<ul style="list-style-type: none"> • Accompanying documents / Service description • Obtaining service 	
<p>(b) possible travel options along with the specific time and date of departure or arrival, or both, including any possible connections;</p>	<p>Do routing results include the criteria set out in Article 7 (2) b)?</p>	<p>Check in accompanying documents if the service description includes the criteria set out in Article 7 (2) b.</p>	<p>Obtain service and check if the routing result includes the criteria set out in Article 7 (2) b.</p>	<ul style="list-style-type: none"> • Accompanying documents / Service description • Obtaining service 	
<p>(c) the handover point between travel information services;</p>	<p>Do routing results include the criteria set out in Article 7 (2) c)?</p>	<p>Check in accompanying documents if the service description includes the criteria set out in Article 7 (2) c.</p>	<p>Obtain service and check if the routing result includes the criteria set out in Article 7 (2) c.</p>	<ul style="list-style-type: none"> • Accompanying documents / Service description • Obtaining service 	

<p>(d) in case of disturbances, alternative possible travel options along with the specific time and date of departure or arrival, or both, and any connections, where available.</p>	<p>Do routing results include the criteria set out in Article 7 (2) d?</p>	<p>Check in accompanying documents if the service description includes the criteria set out in Article 7 (2) d.</p>	<p>Obtain service and check if the routing result includes the criteria set out in Article 7 (2) d.</p>	<ul style="list-style-type: none"> • Accompanying documents / Service description • Obtaining service 	
Article 8 Requirements for service provisions reuse of travel and traffic data and linking of travel information services					
<p>1. The travel and traffic data listed in the Annex and the corresponding metadata including information on the quality thereof shall be accessible for exchange and reuse within the Union on a non-discriminatory basis, through the national or common access point and within a time-frame that ensures the timely provision of travel information services. They shall be accurate and up to date.</p>	<p>Are the travel and traffic data referred to in the Annex, as well as the corresponding metadata and information on the quality of those data, made available for exchange and re-use within the Union in a non-discriminatory manner through the national or common access point? Are they made available within a timeframe that ensures the timely provision of the travel information services? Is the data accurate and up to date?</p>	<p>Check in accompanying documents how the terms and conditions of data exchange and reuse are defined and how the period for provision and update of travel information services (Data update rate) is defined.</p>	<p>Survey of organisation related to conditions for data exchange and reuse. Obtain a data set and check if it is accessible for exchange and reuse within a time-frame that ensures the timely provision of travel information services.</p>	<ul style="list-style-type: none"> • Accompanying documents/ Terms of data exchange/reuse • Entry on NAP • Obtaining data set • Survey of the organisation 	<p>Non-discriminatory = same conditions for all Compliance Assessment for Updates already described in Article 6</p>
<p>2. The data referred to in paragraph 1 shall be reused in a neutral manner and without discrimination or bias. Criteria used for ranking travel options of different transport modes or combinations thereof, or both, shall be transparent and not be based on any factor directly or indirectly relating to the user identity or, if any, the commercial consideration related to the reuse of the data and shall be applied on a non-discriminatory basis to all participating users. The first principle travel itinerary presentation shall not mislead the end-user.</p>	<p>Are the criteria used to rank travel options using different modes of transport or combinations thereof transparent? Are they not based on factors directly or indirectly related to the identity of the user or any commercial interests in the re-use of the data? Are the data GDPR conform? Is the presentation of the itinerary misleading for the end user?</p>	<p>Review in accompanying documents criteria to rank travel options related to transparency and non-discrimination. Review in accompanying documents GDPR conform implementation of service. Review in accompanying documents principles of presentation of travel itinerary.</p>	<p>Obtain service and analyse provision in relation to service description, criteria, and principles for service presentation.</p>	<ul style="list-style-type: none"> • Accompanying documents • Obtaining service 	<p>Non-discriminatory = same conditions for all</p>
<p>3. Where reusing the static and dynamic travel or traffic data, the source of those data shall be indicated. The date and time of the last update of the static data shall also be indicated.</p>	<p>Is the source indicated if static and dynamic travel or traffic data are used? Is the date and time of the last update of the static data provided?</p>	<p>Check service description in accompanying documents related to the indication of data source and data updates (date and time).</p>	<p>Obtain service and analyse it related to the indication of data source and data updates (date and time).</p>	<ul style="list-style-type: none"> • Obtaining service • Accompanying documents/Service description 	
<p>4. The terms and conditions for the use of the traffic and travel data provided through the national access point may be determined through a licence agreement. Those conditions shall not unnecessarily restrict possibilities for</p>	<p>Are the modalities for the use of traffic and travel data provided via the national access point regulated in a licence agreement?</p>	<p>Check in accompanying documents terms and conditions of use.</p>	<p>No content related assessment possible – assessment only on occasion.</p>	<ul style="list-style-type: none"> • Accompanying documents / terms and conditions of use 	

<p>reuse or be used to restrict competition. Licence agreements, whenever used, shall in any event impose as few restrictions on reuse as possible. Any financial compensation shall be reasonable and proportionate to the legitimate costs incurred of providing and disseminating the relevant travel and traffic data.</p>	<p>Are conditions restricting the possibilities for further use? Does this impede competition? Is the financial remuneration appropriate and proportionate in view of the costs legitimately incurred for the provision and dissemination of the relevant travel and traffic data?</p>				
<p>5. Terms and conditions of linking travel information services shall be defined in contractual agreements between the travel information service providers. Any financial compensation of the expenses of linking travel information services incurred shall be reasonable and proportionate.</p>	<p>Do contractual agreements define terms and conditions? Is the financial compensation for the costs of linking travel information services reasonable and proportionate?</p>	<p>Check in accompanying documents terms and conditions.</p>	<p>No content related assessment possible – only on occasion.</p>	<ul style="list-style-type: none"> • Accompanying documents/ Terms and conditions for contractual agreements 	<p>Definition for “reasonable and proportionate costs” missing.</p>
<p>Article 9 Assessment of compliance</p>					
<p>1. Member States shall assess whether the requirements set out in Articles 3 to 8 are complied with by the transport authorities, transport operators, transport on demand service providers and travel information service providers.</p>					
<p>2. In order to conduct the assessment, the competent authorities of Member States may request from the transport authorities, transport operators, infrastructure managers, transport on demand service providers or travel information service providers, the following documents: (a) a description of the travel and traffic data listed or stored in the access point(s) and the travel information services available including connections with other services if applicable, as well as the information on the quality thereof; and (b) an evidence-based declaration of compliance with the requirements set out in Articles 3 to 8.</p>	<p>Were the listed documents requested? Did the transport authorities, transport operators, infrastructure managers, transport on demand service providers or travel information service providers provide the documents?</p>	<p>Compliance Assessment must be carried out.</p>	<p>Check in Compliance Assessment the accompanying documents.</p>	<ul style="list-style-type: none"> • Entry on NAP • Self-Declaration • Accompanying documents 	
<p>3. Member States shall randomly check the correctness of the declarations referred to in paragraph 2(b).</p>					